

DOCKET NO. 216593US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kou HASEGAWA

SERIAL NO: 09/995,613

GROUP ART UNIT: 1765

FILED: November 29, 2001

EXAMINER: Lan VINH

FOR: POLISHING METHOD

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JUN 11 2003

TC 1700

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant wishes to disclose the following information.

**REFERENCES**

- The Applicant wishes to make of record the references listed on the attached Form PTO-1449. A copy of the listed reference is attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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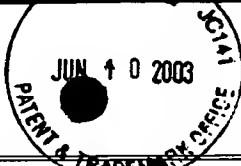
**TC 1700**

Re: In re Application of: Kou HASEGAWA  
U.S. Application Serial No.: 09/995,613  
Filing Date: November 29, 2001  
For: POLISHING METHOD  
Group Art Unit: 1765  
Examiner: Lan VNH



**STATEMENT OF RELEVANCY**

Reference WO 99/24218 listed in the attached Form PTO-1449 corresponds to reference TW 87117983 cited in an Office Action in a corresponding Taiwan application and was brought to Applicant's attention by their Taiwan attorney on March 11, 2003. A copy of the letter from the Taiwan attorney (Taiwan International Patent & Law Office) is attached.



SHEET 1 OF 1 #7

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 216593US0		SERIAL NO. 09/995,613	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Kou HASEGAWA		FILING DATE November 29, 2001		GROUP 1765	
		<b>RECEIVED</b> <b>JUN 11 2003</b>		<b>TC 1700</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AA							
AB							
AC							
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
AK							
AL							
AM							
AN							
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
AO	WO 99/24218	05/20/99	David B. JAMES et al				
AP							
AQ							
AR							
AS							
AT							
AU							
AV							
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
AW							
AX							
AY							
AZ					<input type="checkbox"/> Additional References sheet(s) attached		
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							